10

15

20

25

1999-0515 Bhagavath; O'Neil

## Method for Providing Summary Information about Recipients of IP Multicast Sessions

#### Field of the Invention

This invention is concerned with IP multicasting sessions and in particular with obtaining and storing information concerning multicast recipients.

### **Background of the Invention**

Multicasting is a communication technique permitting a single transmission device to transmit to a plurality of receiving devices. It differs from broadcasting over a radio air interface by, for example, defining specific recipients as group members in contrast to broadcast media. It often uses a wired network to transmit from a single transmission source device to a designated group of receiving devices. Being different from traditional broadcasting, the advent of multicast presents the opportunity to exploit its medium in many ways to permit development of advantageous features not available in the traditional broadcast medium.

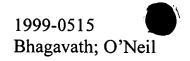
Multicasting in a network setting simultaneously sends messages to a selected group of receiving (i.e., PCs; workstations, etc.) stations. The network may comprise, in-part or in-total separately or in combination, a telephone network; an Internet network; a LAN, a WAN and other similar arrangements. Multicast transmissions, from a network termination point to a host receiver device, of a receiving group, are subject to IGMP (Internet Group Management Protocol) standards. Examples of network termination points, to which a group of host receivers may be connected, are edge

10

15

20

25



routers; gateways and other edge devices located in an IP network point-ofpresence, etc.

IGMP is a protocol that is used to provide group membership data to neighboring multicast routers at the edge of a network. Recipient hosts are individually queried as to the membership group that they belong to and each provides leave group messages when a host wishes to leave a multicast session. A purpose of these queries is to insure that only group end hosts receive the multicast sessions. IGMP is only a subset of existing IP protocols but it is essential in order for the multicast session to work. While supposedly dealing with a group of recipient hosts its queries are generally individually received by all recipient host stations of that group. The last active station of a group sends notice that it is ending its multicast session and the multicast source ceases transmissions to the now inactive group. IGMP is currently in version 2, but a version 3 is presently being developed. A typical header of an IGMPv2 is shown in the FIG. 1

It is desirable to obtain new advantages from attributes that the multicast system presents to the network (i.e., source content provider) provider. Many advantages are desirable but may not conform to existing IGMP protocol standards. In other instances such advantages may not conform to capabilities of existing equipment. It is desirable that any new features conform to existing IGMP and IP standards and network equipment. Summary of the Invention:

A method is provided for providing content providers with specific information about recipients receiving a multicast session, which conform to applicable standards and network equipment. By judicious enhancement of the network POP (i.e., Point-of-Presence) and multicast packet header (i.e.,

10

15

20

25

1999-0515 Bhagavath; O'Neil

datagram) enhancement, the identity of a recipient or host may be identified in a suitable manner that provides the content provider with information about the recipients as a group without compromising the privacy of any individual host/recipient of a multicast session.

In a particular embodiment of the invention, information (i.e., demographic) about host recipients of the multicast are gathered through enhanced multicast-unicast gateways (MUG) included in the network point-of-presence of the host and through the agency of an enhanced IGMP (i.e., non-conflicting additions to the protocol standard). The information is processed at the POP and stored in a measurement server, either during or after the multicast session. This information may be provided to the multicast session provider. It may also be used to automatically initiate actions in response to parameters specified by the session provider. It may be used to dynamically select content such as notices and/or advertising offerings.

Content providers make use of this information to specify direct notices and advertising to the recipient hosts. Selectivity may be based on location of the recipient host; the number of recipient hosts connected to the multicast; the programming selected by the multicast recipient host and other similar considerations. These selections may be made prior to a multicast session with instructions to respond dynamically to recipient host information collected during of a session or made prior to a multicast session based on prior collected information. Such information may be made available subsequent to a multicast session in order to provide for contracted billing information. Thus the information collected may be put to many varied uses included and in addition to those enumerated above.

25

5

1999-0515 Bhagavath; O'Neil

In another variant the information collected in the process may be information valuable in its own right separate from the immediate addressing of notices to the host recipients. Demographics of a general nature may be collected over a period of time and used to tailor future multicast sessions to the existing audience. This information may, for example, be used to entice future sponsors and advertisers of projected multicast sessions/programming. Many other services that this information permits are readily apparent to users of this method and need not be specifically enumerated.

## 10 Brief Description of the Drawing:

- FIG. 1 is a schematic of a multicast packet header used in IGMP;
- FIG. 2 is a schematic of a modified multicast packet header used in IGMP and further enhanced to permit gathering of information about recipients of IP multicast sessions;
- FIG. 3 is a schematic of a system supporting multicast sessions having unicast access links connecting a host receiver to the network Point-of-Presence;
- FIG. 4 is a schematic of a system supporting multicast sessions having multicast access links connecting a host receiver to the network Point-of-

#### 20 Presence;

## **Detailed Description:**

Providing multicast programming, in contrast to providing unicast programming, requires identifying host recipients in terms of a group membership or association. IGMP is a protocol that is used to provide group membership data to neighboring multicast routers at the edge of a network. IGMP is a protocol specifically used by IP systems. This protocol is used for

10

15

20

25

1999-0515 Bhagavath; O'Neil

additional control functions which are known to those in the art but which are not specified herein. It is periodically updated and revised and currently exists as version 2 (IGMPv2).

The existing IGMP (vesion 2) is reflected in a message (i.e., packet header) format as shown in the FIG. 1, which essentially presents a membership query. It includes a type number field which identifies the message type; a membership query, a membership report, etc. (i.e., membership of a group) Only messages with a specified type are dealt with for multicast purposes. The type number field is followed by a maximum response time field that defines the maximum elapsed time for the filing of a membership report. The check sum field includes a check number to validate correctness of the over all IGMP message. The group address field defines the address of a group query. These data fields are well known to those in the art and are not discussed further. Typical exemplary data are:

## Type = 0x11 Membership Query, 0x16 Membership Report, 0x17 Leave Group

The data provided by this message data header does not provide sufficient data to fulfill the objective of providing information about the host recipient. A host Address field is shown in the message data header of FIG.

2. The added address field is in harmony with the existing IGMP and provides the information to achieve the provision of data concerning the host receiver.

The enhanced message format may be characterized, for example as providing:

# Type = 0x21 Membership Query, 0x26 Membership Report, 0x27 Leave Group

The inclusion of the address field is to provide the IP addresses of host recipients joining or leaving an IP multicast session. The required

10

15

20

25

1999-0515 Bhagavath; O'Neil

actions on the part of a host receiver are to 1) issue a host membership report when joining a multicast group and 2) issue a leave group message when it leaves a multicast group. This information as described below allows the neighboring (i.e., edge router) router to track, in real time, the host receivers that are receiving IP multicast sessions.

A multicast system architecture using IP unicast Access Links coupling a host group to the POP of a multicast network is shown in the schematic of FIG. 3. A global IP network 301 is shown connecting streaming multimedia servers 303 to the Point-of-Presence (i.e., terminating point of the network where local device is coupled to the network) (POP) 305. The POP 305 is connected to a customer computer 307 over an unicast access link 309. Link 309 is this embodiment is an unicast link

Streaming multimedia server 303 combines a plurality of media (i.e., audio, video, text, etc.) to produce an integrated signaling format. Streaming is accomplished by means of transmitting packets sufficiently fast so that significant buffering is not required by the recipient. Streaming is known to those in the art and need not be discussed in detail.

The streaming multimedia server 303 is connected to a global IP network 301. IP networks such as network 301 may use cable, fiber, wired or wireless transmission media. Selection or combining of media is well known and is not described in detail herein. The primary mode of information is structured into datagrams which are packets having source and group destination addresses and in the invention host recipient addresses.

The IP network 301 conforms to the IP protocol and transmits IP datagrams, in multicasting sessions to a host group represented by the

10

15

20

25

1999-0515 Bhagavath; O'Neil

plurality of customer computers 307, via the POP 305. POP 305 is a termination point for the network 301 and includes a proxy server 311 a multicast-unicast gateway 313, a measurement collector 315 and a directory server 317.

The proxy server 311 performs an address mapping function to act as a barrier (i.e., a firewall) between the IP network 301 and the MUG 313. MUG is a gateway (i.e., an edge device at the network terminus) which connects the multicast IP network 301 to the incompatible local unicast network of the customer computers 307. According to the invention the MUG includes enhanced software to permit determination of the number of customers (i.e., customer computers 307) currently receiving a multicast program. In the illustrative embodiment this requires counting of the IP unicast streams associated with the IP multicast stream. Gateway 313 also performs code and protocol conversions between the local unicast system and the network multicast system.

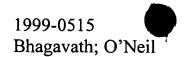
A measurement collector 315 is coupled to poll the MUG 313 and obtain the number-of-streams data from the MUG 313. It is also connected to collect profile information from a directory server 317 containing user profile information collected from many sources. This profile information is combined with the number of active host recipients to provide aggregate global information to enable evaluation of strategies by information source providers.

The information collected by the measurement collector 315 is forwarded via the proxy server 311 and IP network 301 to a measurement server 321 where it can be readily accessed by the network 301 and the providers of the streaming multimedia.

10

15

20



The measurement server is connected via the IP network 301 to a billing server 325, which maintains charging information related to providing the information service. The billing, to programming providers, may be on a subscription basis or on an individual per transaction use basis, for example.

System architecture using multicast access links 409 between a POP 405 and the host recipient customer computers 307 is schematically shown in the FIG. 4. POP 405 includes an edge router 413 (i.e., an edge device at the network terminus) to provide routing of the various multicast streams to the customer computers 307. The edge router 413 is enhanced with software to determine the number of customer computers receiving the IP multicast content. This is achieved by utilizing the header information, such as shown in FIG. 2 as the host addresses, included in the IGMP messages exchanged between the customer computers 307 and the edge router 413.

The measurement collector 315 periodically polls the edge router to record this data and by using information supplied by the directory server 317 to develop aggregate information about the recipients of the multicast session. The information is forwarded to the measurement server 312 and the billing server 325 provides billing.

An exemplary embodiment of the invention has been disclosed. It is to be understood that many variations of these embodiments may be created by those skilled in the art without departing from the spirit and scope of this invention.